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Perspective Series

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In 1996, we introduced the concept of targeted gene repair, a process in which a synthetic molecule directs the exchange of a single DNA base at a specific site in an episome or chromosome (refs. 1, 2; see ref. 3 for review). This approach is based on seminal work conducted by several groups (4–6) in which gene targeting was used to correct the human β^s mutation and develop animal models for testing of novel therapies. In addition, Sherman and colleagues (7–9) provided the first clear evidence that DNA oligonucleotides could be used to alter single bases in yeast. The latter work employed a simpler type of targeting molecule compared with the more traditional vector used for gene targeting protocols. The convergence of these ideas led us to begin testing oligonucleotides in a variety of eukaryotic cells for nucleotide-alteration activity. The general pathway is envisioned as a nucleotide-exchange reaction directed by a double-stranded molecule known as the chimeric RNA/DNA oligonucleotide (chimera). The chimera (Figure 1) is a single-stranded molecule, usually 70–80 bases in length, with sequence complementarity so that it folds into a double-hairpin configuration. This configuration avoids nuclease digestion and concatenation of double-stranded molecules, two adverse molecular events that are common when these types of DNA structures are transfected into mammalian (and other eukaryotic) cells. The base composition includes both RNA and DNA nucleotides with three or four thymidine residues in the “cap turns.” The RNA bases stabilize the intermediate joint molecule on one strand, while the DNA portion of the molecule starts the single-base exchange event. Finally, a unique phosphodiester bond is left unligated so as to facilitate topological intertwining with the target site in the helix.

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The mechanism of this reaction is currently being elucidated by biochemical (10–12) and genetic studies in yeast (13, 14) (see “Clinical use of gene repair: are we there yet?”). We now believe that the chimera directs nucleotide exchange in two distinct phases: DNA pairing and DNA repairing. The first phase consists of protein-promoted DNA hybridization between the vector (chimera) and its complementary target sequence in the target gene. Once aligned in homologous register, stable base pairs form, except for one predesigned mismatch located in the center of the joint complex. The mismatched base pair illustrated in Figure 1 is G-G. This structural perturbation, in the otherwise perfectly hybridized twin duplex, is recognized by the cell's endogenous mismatch-repair system, which then catalyzes nucleotide exchange in the second phase, the process of DNA repairing. Once one mismatch is corrected, the chimera dissociates, leaving behind a single mismatched base pair in the original targeted helix. This structure is similar to the one recognized when mismatched base pairs are created during DNA replication. The normal repair pathway is then activated and generates an intact DNA helix. Figure 2 presents a model in which the same sequence of events takes place, except that the process is directed by a single-stranded oligonucleotide with specific terminal linkage modifications. DNA pairing is catalyzed by the same group of proteins, and DNA repair is again carried out by the process of mismatch repair.

The first reports of successful application of chimera-directed gene repair came from several laboratories, including our own (2). Steer and colleagues pioneered the technique in liver cells, initially in an HUH7 cell line (15), followed by spectacular results in primary hepatocytes (16). Kren et al. then corrected the single base mutation responsible for Crigler-Najjar disease in the prototype Gunn rat model (17). These initial findings have been followed by a steady stream of independent confirmation in mammalian cells and animal models (Table 1). The versatility of the technique has been

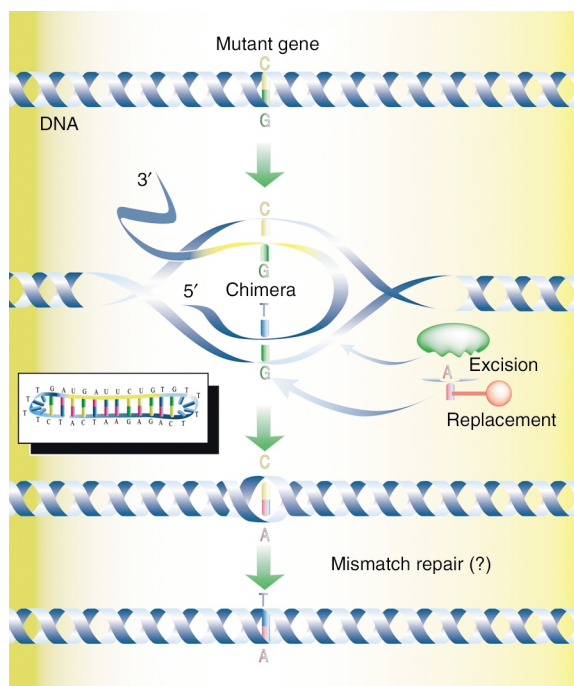


Figure 1
Proposed mechanism of targeted gene repair directed by chimeric RNA/DNA oligonucleotides. The RNA/DNA chimera (inset) is a single-stranded oligonucleotide consisting of RNA and DNA residues. The molecule acts by annealing at the site in the target DNA, with the RNA section (yellow) hybridizing with perfect complementarity to one strand and the DNA stretch (blue) hybridizing to the other strand. A T/G base pair mismatch is formed and is acted upon by the cell's DNA repair systems. In this case, the G residue is excised and replaced by an A to base pair with the T base provided by the chimera. The chimera dissociates, leaving behind a C/A mismatch, which is presumably corrected by the mismatch-repair system to generate a T·A base pair. Here, the action of the chimera directs the exchange of a C·G base pair with a T·A base pair. Reproduced with permission from *Science's STKE* (46).

demonstrated by successful experiments in plant-cell and whole-plant models (Table 1).

The reaction to this technique from the gene therapy and gene targeting community was generally disbelief; many scientists believed that the results were most likely artifactual (18). Some went as far as to predict that no one would be able to use the technique independently. But others published impressive data, and independent confirmation followed shortly (19–23). Several misconceptions were then propagated, not the least of which was that no one had shown a phenotypic change. Kren et al. (16), Alexeev et al. (24, 25), Bartlett et al. (20), and Lai et al. (26), to name only a few, had, in fact, done so and had published their results in peer-reviewed journals. The question of reproducibility has plagued this technique, especially when the issue of the high frequencies of gene repair was discussed. Recent evidence published by Tagalakis et al. (27) showed even higher frequencies of gene repair using the same lymphoblastoid cell type used by Cole-Strauss et al. (2) in

one of the original reports of this technique. Finally, some claimed that no clonal isolates of permanently altered cells had been produced. As early as 1998, Alexeev and colleagues (24, 25) had clearly demonstrated clonal inheritance, as had the 1998 and 1999 reports of gene repair in plants (28–30).

Clinical use of gene repair

We've come a long way in the clinical use of gene repair. Some valid questions do, however, remain unanswered and continue to perplex investigators. The most important one centers on the variability among the data of different research groups. Frequencies of correction differ widely, often ranging from 0.5% to 20% even within the same laboratory. Many current efforts aim primarily to explain this variability.

Over the past three years, our laboratory took a decidedly reductionist approach to uncover the sources of variability. Presently, we believe that the efficiency of transfection (or vector uptake into cells) is a

Figure 2

Targeted gene repair with modified single-stranded oligonucleotides. The mutant base is recognized by the single-stranded oligonucleotide, which is designed to hybridize to a region immediately surrounding the targeted base. At one specific site, a mismatch is created (C/T here) with the displacement of the complementary strand, which contains the G residue (G). DNA repair activity catalyzed by the cellular machinery inserts an A on the hybridized strand to pair with the T residue of the template. A second repair event completes the cycle by removing the G residue and replacing it with a T. In this case, a conversion of the G·C base pair to an A·T base pair results from the gene repair reaction. Reproduced with permission from *Current Opinion in Molecular Therapeutics* (47).

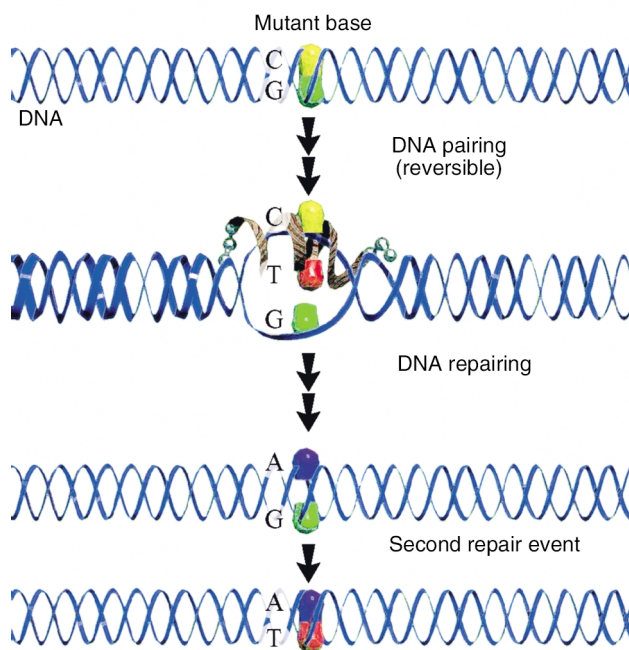


Table 1

The wide scope of gene repair applications.

Gene and gene product	Disease/phenotype	Model system	Reference
Mammalian cells and animal models			
<i>Tyr</i> ; tyrosinase	Melanin synthesis and pigmentation (albinism)	Mouse	24, 25
<i>Dmd</i> ; dystrophin	Muscular dystrophy	Canine	48
<i>Dmd</i> ; dystrophin	Muscular dystrophy	Mouse	49, 50
<i>Hbb</i> ; hemoglobin β^S allele	Sickle cell disease	Lymphoblastoid cells	2
<i>glb</i> ; β -galactosidase	β -galactosidase activity	Escherichia coli	21
<i>ALP</i> ; alkaline phosphatase	Hepatic disorders	Human hepatoma cells	1, 15
<i>F9</i> ; factor IX	Hemophilia	Rat	16
<i>UGT1A1</i> ; UDP-glucuronosyltransferase	Crigler-Najjar syndrome type I	Gunn rat	17
<i>Call</i> ; carbonic anhydrase II	Renal tubular acidosis	Mouse	23, 26, 51, 52
<i>Hbb</i> ; hemoglobin β	β -Thalassemia	Mouse	53
<i>ApoE2</i> ; apolipoprotein A2	Atherosclerosis	Chinese hamster ovary cells, mice	27
Mutant eGFP reporter gene; enhanced GFP	Mammalian cell microinjection	Fibroblasts	54
Plant genes			
<i>SuRA</i> ; tobacco acetolactate synthase	Herbicide-resistance	Tobacco plant	28
Model system	Kanamycin, ampicillin, or tetracycline resistance	Maize, banana, tobacco	55
<i>AHAS</i> ; acetohydroxyacid synthase	Imidazolinone resistance	Maize	28, 30
Biochemistry and mechanism			
hMSH2	Antibiotic resistance	Human cell-free extract	10, 12, 56
RecA	Antibiotic resistance	Human cell-free extract	11, 33
Rad52 epistasis group: mismatch repair group	Hygromycin resistance, eGFP expression	Saccharomyces cerevisiae	14, 34, 35

This table presents a sampling of the most compelling achievements with chimeric RNA/DNA molecules in the gene repair field. These types of molecules have been shown to be effective in repairing mutations in bacteria, yeast, plants, mammalian cells, and animal models.

key parameter (31, 32). The phase of the cell cycle in which the vector enters the cell also affects gene repair levels, and the cellular level of DNA recombination/repair proteins can also influence the success of gene repair in a positive (enhancing) or negative (suppressive) fashion. Finally, the capacity to produce the full-length, double-stranded chimera at a level of purity at which breakdown products and/or incompletely synthesized products do not interfere with the reaction is critical for obtaining positive results.

The last of these rules prompted us to develop a new vector that could direct gene repair as precisely and efficiently as the chimera, but that had a simplified synthesis protocol. Studies by Gamper et al. (11, 12, 33) indicated that single-stranded DNA with a specific number of linkage modifications appeared to catalyze gene repair efficiently and was easier to synthesize and purify. Both the chimera and the new single-stranded design have been tested in the genetically tractable organism *Saccharomyces cerevisiae* (34, 35). While this may seem to be regressive, repair proteins in yeast function similarly to their human counterparts. However, the influence of individual protein components on the gene repair process can be assessed using genetic-screening techniques in yeast, but not human cells. Thus, using this simplified vector and the power of yeast genetics, we have now established several tenets of gene repair. (a) There is a clear dose response to gene repair that corresponds to the amount of vector added to the reaction, but there is also a maximum dose above which

the repair efficiency falls off precipitously. (b) An optimal length of the vector exists between 70 and 80 bases on the DNA strand; extension of this length to 100 nucleotides reduces repair frequency. (c) Gene repair initiated on the nontranscribed strand is five- to tenfold better than similar events initiated on the transcribed strand. This difference has been estimated to be 1,000-fold (21, 22), but our data suggest a more conservative advantage. (d) Members of the Rad52 epistasis group, a group of genes involved in the repair of double-stranded chromosomal breaks, regulate the gene repair process and probably control the rate-limiting step. Overexpression of some of these genes increases gene repair activity ten- to 20-fold. (e) Finally, a number of DNA repair proteins act as suppressors of the reaction, disrupting the gene repair process and reducing the genetic readout. Studies in yeast have helped define clear roles for proteins such as Rad51, Rad52, Rad54, and Mre11 (36, 37). Mechanistic analyses of the gene repair reaction have demonstrated the importance of DNA pairing in the overall success of the reaction. The choice of which strand to target is an important one, since both transcription and replication processes can modulate the stability of the oligonucleotide bound at the specific site (refs. 38 and 39; E.E. Brachman and E.B. Kmiec, unpublished observations).

There is really only one way to "cure" a genetic disease, and that is to correct the gene at the site of the mutation in the chromosome or at the level of mRNA. Great progress is still being made using eukaryotic

viruses as gene therapy vectors to reverse the phenotype of certain disease states. There is little doubt that some of these protocols will result in significant clinical benefit. But the death of Jesse Gelsinger at the University of Pennsylvania in 1999 (40) should serve as a wake-up call to this field. Mr. Gelsinger was a participant in a gene therapy clinical trial that used an adenoviral vector to deliver a corrective gene for a genetic disorder. The operational idea was that the addition of copies of the normal gene transported by a modified virus would alleviate or reverse the disorder. But the amount of virus led to a massive immunological and toxic response, which led to Mr. Gelsinger's death shortly after it was administered. The serious risks of viral gene therapy must be first openly addressed and then overcome. We hope that novel approaches, such as DNA or RNA gene repair, will be afforded an equal chance of success and that the reasonable levels of financial support provided to viral approaches will be made available to gene repair applications.

In relation to bringing gene repair into the scientific mainstream, however, a number of good things are happening. First, a consortium of scientists, primarily from Europe, is meeting regularly in an open forum to discuss gene repair applications. Second, the subject of gene repair is appearing in a few scientific programs and meetings that primarily focus on the biological use of oligonucleotides. Unfortunately, the key word here is "few." Third, reports of new approaches to or variations of gene repair (21, 41–45) are beginning to be published in major journals. Doubt still remains within the scientific arena regarding the reality of gene repair emerging as a true gene therapy, but the skepticism is reduced incrementally as the number of successful reports of its application increase. I am reminded of some advice a famous cancer researcher once gave me regarding my work in this area: "Keep pioneering this idea, just in case it works." I hope we all do, just in case.

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